JOINT ELECTRICITY REGULATORY COMMISSION FOR MANIPUR AND MIZORAM

AIZAWL ::: MIZORAM

NOTIFICATION

No. G. 12015/3/09- JERC, date 25th May, 2016. In exercise of the powers conferred by Subsection (1) and Clauses (g), (zo) and (zp) of Sub-section (2) of Section 181 read with Section 86 (1)(g) of the Electricity Act, 2003 (Act 36 of 2003) and Section 127(1) and all powers enabling it in this behalf, the Joint Electricity Regulatory Commission for Manipur & Mizoram hereby makes the following Regulations to amend the Joint Electricity Regulatory Commission for Manipur & Mizoram (Fees, Fines & Charges) Regulations, 2010 as under:-

1. Short title, commencement and interpretation.

- (1) These Regulations may be called the "Joint Electricity Regulatory Commission for Manipur & Mizoram (Fees, Fines & Charges) (First Amendment) Regulations, 2016".
- (2) These Regulations shall come into force in respective states from the date of their publication in the Official Gazette of Manipur and Mizoram respectively.
- (3) These Regulations extend to the whole of the States of Manipur and Mizoram.
- 2. The following Schedule-1 of Fees shall replace the existing Fees, Fines and Charges specified in Schedule-1 appended to the principal regulation notified on 29th July, 2010.

Schedule-1

1. Fees to be paid with Petition for a Licence:

Sl. No.	Nature of Petition	Fee
1.1	Fee payable with petition for a transmission/	Rs. 1,00,000/- (Rupees one
	distribution/ trading licence under Section 15(1) of	lakh)
	the Act.	
1.2	Initial licence fee under Section 14 of the Act: 1.2.1 For transmission/distribution licence 1.2.2 Trading licence	Rs. 10,00,000/- (Rupees ten lakh) Rs. 5,00,000/- (Rupees five lakh)
1.3	Petition for grant of exemption from licence under Section 13 of the Act.	Application fee- Rs. 5,000/- Renewal fee- Rs. 1,000/- per year for subsequent years.

2. Annual Licence fee to be paid by the Licensees including a deemed Licensee:

Sl. No	Type of Licence	Fee per year
2.1	Transmission Licence	Rs. 6,00,000/- (Rupees six lakh)
2.2	Distribution Licence	Rs. 10,00,000/- (Rupees ten lakh)
2.3	Trading Licence	Rs. 2,00,000/- (Rupees two lakh)
2.4	Integrated Utility (i.e. performing transmission, distribution and trading)	Rs. 16,00,000/- (Rupees sixteen lakh)

Note: The annual licence fees are payable within 15 days of the commencement i.e. by 15th of April of each financial year. Any delay shall attract a penal interest @1% per month on the delayed amount. The above annual licence fee shall be enhanced after every five years.

3. Petition for amendment of licence under Section 18 of the Act:

Sl. No.	Nature of Petition	Fee
3.1	3.1.1 Petition by licensee	Rs. 1,00,000/- (Rupees one lakh)
	3.1.2 Petition by any person other than licensee	Rs. 50,000/- (Rupees fifty thousand)

4. Petition for revocation of licence under Sub-section (2) of Section 19 of the Act:

4.1	4.1.1 By licensee	Rs. 5,00,000/- (Rupees
		five lakh)
	4.1.2 By others	Rs. 1,00,000/- (Rupees one
		lakh)

5. Determination of tariff under the provisions of Clause (a) of Sub-section 1 of Section 62 of the Act:

Sl. No.	Nature of Petition	Fee		
5.1	Petition for determination of tariff for supply			
	of electricity, wholesale, bulk or retail, by a			
	distribution Licensee including a deemed			
	Licensee as also for determination of			
	tariff/charges of wheeling in respect of such			
	distribution Licensee including a deemed			
	Licensee:			
	(i) In the case of initial filing under Multi	0.06 paise per kWh of		
	Year Tariff framework.	energy proposed to be		
		handled and/or wheeled		
		during the control period		
		for which the petition is		
		being filed subject to a		

	(ii)	In the case of annual filing within the control period of Multi Year Tariff framework including Annual Performance Review (APR) and for Truing up (unless APR and Truing up are filed separately).	minimum of Rs. 15,00,000/- (Rupees fifteen lakh) 0.06 paise per kWh of energy proposed to be handled and/or wheeled during the financial year after revision for which the petition is being filed subject to a minimum of Rs. 10,00,000/- (Rupees ten lakh)
5.2	supply Licens genera of Sub Electr	cation for determination of tariff for y of electricity to any distribution see including a deemed Licensee by a ating company/agency responsible for ation under the provisions of Clause (a) o-section (1) of Section 62 of the ricity Act, 2003:	
	(i)	Conventional fuel (coal, gas, oil, etc.) based plant except captive generating plant.	Rs. 5,000/- per MW of installed capacity or part thereof subject to a minimum of Rs. 10,00,000/- (Rupees ten lakh)
	(ii)	Conventional fuel (coal, gas, oil, etc.) based captive generating plant.	Rs. 5,000/- per MW of installed capacity or part thereof subject to a minimum of Rs. 1,00,000/- (Rupees one lakh)
	(iii)	For determination of tariff for a class of project (Non-conventional and renewable energy based plants including Wind, Solar, Mini or Small Hydro Plant and Cogeneration Plant, etc.).	Rs. 5,000/- per MW of installed capacity or part thereof subject to a minimum of Rs. 2,00,000/- (Rupees two lakh)
	(iv)	Hydro generating station including pumped storage plant other than mini or small hydro generating station and captive hydro generating plant.	Rs. 5,000/- per MW of installed capacity or part thereof subject to a minimum of Rs. 10,00,000/- (Rupees ten lakh)
	(v)	Captive hydro generating station other than mini or small hydro generating station.	Rs. 5,000/- per MW of installed capacity or part thereof subject to a minimum of Rs. 1,00,000/- (Rupees one lakh)

5.3	Petition for determination of tariff/charges for transmission of electricity of a transmission Licensee including a deemed Licensee:				
	(i)	In the case of initial filing under Multi Year Tariff framework.	0.04 paise per kWh of energy proposed to be transmitted and/or wheele during the control period for which the petition is being filed subject to a minimum of Rs. 10,00,000/- (Rupees ten lakh)		
	(ii)	In the case of annual filing within the control period of Multi Year Tariff framework including Annual Performance Review (APR) and for Truing up (unless APR and Truing up are filed separately).	0.04 paise per kWh of energy proposed to be transmitted and/or wheele during the financial year after revision for which th petition is being filed subject to a minimum of Rs. 7,00,000/- (Rupees seven lakh)		

6. Fee for Annual Performance Review (APR) of Multi Year Tariff of Generating Companies, Transmission Licensees and Distribution Licensees (including deemed Licensees) under MYT framework (if APR is filed separately):

Sl. No.	Nature of Petition	Fee
6.1	Petition for Annual Performance Review of tariff for supply of electricity, wholesale, bulk or retail, as also of tariff/charges for wheeling of a distribution Licensee under Multi Year Tariff framework.	0.01 paise per kWh sold and/or wheeled during the year under Annual Performance Review subject to a minimum of Rs. 7,50,000/- (Rupees seven lakh fifty thousand)
6.2	Petition for Annual Performance Review of tariff under Multi Year Tariff framework for supply of electricity to any distribution Licensee by a generating company/agency responsible for generation of following categories: (i) Conventional fuel (coal, gas, oil, etc.) based plant except captive generating plant.	Rs. 1,000/- per MW of installed capacity or part thereof subject to a minimum of Rs. 10,00,000/- (Rupees ten lakh)
	(ii) Conventional fuel (coal, gas, oil, etc.)	Rs. 1,000/- per MW of

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		based captive generating plant.	installed capacity or part thereof subject to a minimum of Rs. 1,00,000/- (Rupees one lakh)
	(iii)	For determination of tariff for a class of projection (Non-conventional and renewable energy based plants including Wind, Solar, Mini or Small Hydro Plant and Cogeneration Plant, etc.).	Rs. 1,000/- per MW of installed capacity or part thereof subject to a minimum of Rs. 1,00,000/- (Rupees one lakh)
	(iv)	Hydro generating station including pumped storage plant other than mini or small hydro generating station and captive hydro generating plant.	Rs. 1,000/- per MW of installed capacity or part thereof subject to a minimum of Rs. 5,00,000/- (Rupees five lakh)
	(v)	Captive hydro generating station other than mini or small hydro generating station.	Rs. 1,000/- per MW of installed capacity or part thereof subject to a minimum of Rs. 1,00,000/- (Rupees one lakh)
6.3	tariff/a	on for Annual Performance Review of charges for transmission of electricity of asmission Licensee including a deemed see under Multi Year Tariff framework.	0.04 paise per kWh transmitted and/or wheeled during the year under Annual Performance Review subject to a minimum of Rs. 7,50,000/-(Rupees seven lakh, fifty thousand)

7. Petition for determination of rates, charges, terms and conditions under the Act:

Sl. No.	Nature of Petition	Fee
7.1	Petition for determination of rates, charges, terms and conditions under proviso to Subsection (1) of Section 36 of the Act.	Rs. 1,00,000/- (Rupees one lakh)
7.2	Petition for approval of ARR and determination of fees and charges to be levied by SLDC under Section 32(3).	0.015% of Annual Revenue expected subject to a minimum of Rs. 10,000/- (Rupees ten thousand)
7.3	Petition for approval of the schedule of charges of a distribution licensee under Section 45 and 46 of the Act.	Rs. 50,000/- (Rupees fifty thousand)

8. Fee to be paid for Review of Tariff Orders:

Sl. No.	Nature of Petition	Fee
8.1	Petition for review of tariff order for a control period for any category mentioned in Serial 5 of this Schedule:	
	8.1.1 By Licensee and Generation Company	Rs. 5,00,000/- (Rupees five lakh)
	8.1.2 By institution/organization8.1.3 By individual	Rs. 1,00,000/- (Rupees one lakh) Rs. 10,000/- (Rupees ten
	6.1.5 By marvidual	thousand)
8.2	Petition for review of an order of Annual Performance Review of Multi Year Tariff mentioned in Serials 5 and 6 of this Schedule: 8.2.1 By Licensee and Generation Company 8.2.2 By institution/organization 8.2.3 By individual	Rs. 5,00,000/- (Rupees five lakh) Rs. 1,00,000/- (Rupees one lakh) Rs. 10,000/- (Rupees ten thousand)
8.3	Petition for review of any order of the Commission other than orders mentioned in Serials 5 and 6 of this Schedule:	50% of fee as required for the original petition.
8.4	Petition for inspection of documents permitted to be inspected.	Rs. 100/- (Rupees one hundred) for every one hour of inspection or part threreof.

9 Fee to be paid with petitions for adjudication of disputes/grievances:

Sl. No.	Nature of Petition	Fee
9.1	For filing Petition for adjudication of disputes regarding availability of transmission facility under the second proviso to Sub-section (2) of Section 9 of the Act, to be paid by the person who has referred such dispute to the Commission.	Upto 5MW Rs. 10,000/- (Rupees ten thousand) and above 5MW Rs. 25,000/- (Rupees twenty five thousand)
9.2	For filing Petition for adjudication of dispute under Section 33(4) and 86(1)(f) of the Act.	Rs. 25,000/- (Rupees twenty five thousand)
9.3	Petition relating to open access under Subsection (2), (3) and (4) of Section 42 of the	

	Act an	d Regulations specified thereunder:	
	9.3.1	Application under proviso to Section 35 of the Act for adjudication of dispute regarding extent of surplus capacity for intervening transmission. Petition for adjudication of dispute regarding provision of non-discriminatory open access under Section 40 of the Act and Regulations	Rs. 2,00,000/- (Rupees two lakh)
	i.	specified thereunder:. For a person who has constructed and maintains and operates a captive	Rs. 50,000/- (Rupees fifty thousand)
	ii.	generating plant. For a person having a captive generating plant for generation of energy from renewable sources of cogeneration.	Rs. 20,000/- (Rupees twenty thousand)
	iii.	For a consumer.	Rs. 5,000/- (Rupees five thousand)
	iv.	For a licensee or generating company	Rs. 5,00,000/- (Rupees five lakh)
	V.	For any person, other than a generating company or Licensee, who wheels or proposes to wheel electricity.	Rs. 30,000/- (Rupees thirty thousand)
9.4	Sub-se regard genera	ication of dispute under Clause (f) of ection 1 of Section 86 of the Act ing disputes between licensees and ating companies and between licensees elves, not covered by these Regulations.	Rs. 5,00,000/- (Rupees five lakh)
9.5		ing Petition for adjudication of dispute Sub-section (4) and (5) of Section 67 of et.	Rs. 1,000/- (Rupees one thousand)
9.6	_	sentation to the Ombudsman under n 42(6) of the Act.	Rs. 100/- (rupees one hundred)
9.7		l submitted to the appellate authority Section 127(1) of the Act.	Rs. 5,000/- (Rupees five thousand)

10 Petition for imposing penalty under the Act:

Sl. No.	Nature of Petition	Fee
10.1	Petition for imposing penalty under Section	Rs. 5,000/- (Rupees five
	33(5) of the Act upon any licensee,	thousand)
	generating company or any other person.	

11 Petition for punishment due to non compliance of the directions of the Commission under Section 142 of the Electricity Act:

Sl. No.	Nature of Petition	Fee
11.1	11.1.1 Petition made by a generation company or institution/organization.11.1.2 By any individual.	Rs. 1,000/- (Rupees one thousand) Rs. 500/- (Rupees five hundred)
11.2	Cases of non compliance of the orders of Consumer Grievances Redressal Forum (CGRF) or Ombudsman. 11.2.1 For individual. 11.2.2 For institution/organization.	Rs. 100/- (Rupees one hundred) Rs. 1,000/- (Rupees one thousand)

12 Petition seeking prior approval under Section 17 of the Act:

Sl. No.	Nature of Petition	Fee
12.1	12.1.1 To undertake any transaction to	Rs. 5,00,000/- (Rupees five
	acquire by purchase or takeover or	lakh)
	otherwise the utility of any other	
	licensee under Section 17(1)(a) or	
	merge his utility with the other utility	
	of any other licensee in the State	
	under Section 17(1)(b).	
	12.1.2 To assign his license or transfer his	Rs. 5,00,000/- (Rupees five
	utility or any part thereof by sale,	lakh)
	lease, exchange or otherwise at any	
	time under Section 17(3) of the Act.	

13 Petition for amendment of licence under Section 18 of the Act:

	Sl. No.	Nature of Petition	Fee
	13.1	13.1.1 Application by licensee.	Rs. 1,000/- (Rupees one
			thousand)
		13.1.2 Application by any person other than a	Rs. 10,000/- (Rupees ten
		licensee.	thousand)
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14 Petition for invoking power of the Commission under the Act:

Sl. No.	Nature of Petition	Fee
14.1	Application for invoking power of the	Rs. 5,000/- (Rupees five
	Commission under Section 23 of the	thousand)
	Electricity Act, 2003. Direction to the licensee	
	for regulating supply, distribution,	

	consumption or use of electricity	
14.2	Application for invoking power of the	Rs. 5,000/- (Rupees five
	Commission under Section 24 of the	thousand)
	Electricity Act, 2003. Order for suspension of	
	distribution license and sale of utility.	
14.3	Monopoly Control.	Rs. 5,000/- (Rupees five
	Application for exercise of power under	thousand)
	Section 60 of the Electricity Act, 2003.	
	(Market domination)	

Petition for review of General Order:

Sl. No.	Nature of Petition	Fee
15.1	Petition for review of Order passed by the Commission in connection with adjudication in disputes between licensees and generating companies and referring any disputes to arbitration under Clause (i) of Sub-section 1 of Section 86 of the Act.	10% of the fee paid for the original order.
15.2	Petition (i) to set aside ex-parte order or to drop ex-parte proceedings and/or (ii) restore any case which was dismissed due to default. 15.2.1 For individual. 15.2.2 For institution/organization.	Rs. 200/- (Rupees two hundred) Rs. 1,000/- (Rupees one thousand)
15.3	Petition / Application processing fee for review of order under PPA.	Rs. 5,000/- (Rupees five thousand)
15.4	Petition for review of any order on grounds of clerical error/mistake.	NIL
15.5	Petition for review of order of the Commission not covered in this schedule.	Rs. 5,000/- (Rupees five thousand)

16 Petition under the Energy Conservation Act, 2001:

Sl. No.	Nature of Petition	Fee
16.1	16.1.1 Petition made by a generation	Rs. 1,000/- (Rupees one
	company.	thousand)
	16.1.2 By an individual.	Rs. 100/- (Rupees one
		hundred)
	16.1.3 Petition made by the State	NIL
	Government or by its officer in his	
	official capacity.	

17 Petition for condonation of delay:

Sl. No.	Nature of Petition	Fee
17.1	17.1.1 By a licensee and Generation	Rs. 1,000/- (Rupees one
	Company.	thousand)
	17.1.2 By any other person.	Rs. 100/- (Rupees one
		hundred)

18 Petition for approval of Business Plan, Agreements, etc.:

Sl. No.	Nature of Petition	Fee
18.1	Petition for approval of Business Plan including Capital Investment Plan (CIP), etc.	0.01% of the proposed investment subject to a minimum of Rs. 20,000/-(Rupees twenty thousand)
18.2	Petition for approval of Power Purchase Agreement/ Power Sale Agreement/ Transmission Service Agreement. (i) Conventional fuel (coal, gas, liquid fuel, etc.) based power plant.	Rs. 10,000/- (Rupees ten thousand) per MW or part thereof subject to a maximum of Rs. 10,00,000/- (rupees ten lakh).
	(ii) Non-conventional/renewable energy sources based power plants.	Rs. 5,000/- (Rupees five thousand) per MW or part thereof.
	(iii) Transmission Service Agreement	Rs. 2,000/- (Rupees two thousand) per MW
18.3	Petition for determination of Variable Cost Adjustment (VCA) charges	Rs. 50,000/- (Rupees fifty thousand)

19 Fee on other petition and miscellaneous charges:

Sl. No.	Nature of Petition	Fee
19.1	Fee on Interlocutory Application on the main petition submitted before the Commission.	Rs. 5,000/- (Rupees five thousand)
19.2	Petition for inspection of record/documents as per provision of the JERC M&M (Conduct of Business) Regulations, 2010.	Rs. 500/- (Rupees five hundred)

19.3	Petition for certified copy of the Commission's order or pleadings or unprivileged/non confidential documents filed in case matters under JERC M&M (Conduct of Business) Regulations, 2010. 18.3.1 Fee on application. 18.3.2 Fee per page.	Rs. 10/- (Rupees ten) Rs. 2/- (Rupees two) per page.
19.4	Miscellaneous applications, not covered in this schedule – 18.4.1 For individual / Non-Profit Organization. 18.4.2 For others.	Rs. 50/- (Rupees fifty) Rs. 250/- (Rupees two hundred fifty)

By Order of the Commission



Note: The Principal Regulations were notified in the Manipur Gazette Extraordinary, issue No. 28 dt. 2.5.2012 and in the Mizoram Gazette Extraordinary, issue No. 273 dt. 6.8.2010.